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CONFIRMATION NO. 9235

| SERIAL NUMBER | FILING or 371(c) DATE RULE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. |
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| 09/775,599 | 02/05/2001 | 705 | 3695 | 055444-0311371 |
| APPLICANTS Joseph G. Gatto, San Francisco, CA; | | | | |
| ** CONTINUING DATA ***** This application is a CIP of 09/722,050 11/27/2000 PAT 7,509,277 which is a CIP of 09/524,253 03/13/2000 PAT 7,167,838 which is a CIP of 09/296,620 04/23/1999 PAT 6,510,419 which claims benefit of 60/082,868 04/24/1998 | | | | |
| ** FOREIGN APPLICATIONS ***** | | | | |
| ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 04/30/2001 | | | | |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and /NARAYANSWAMY SUBRAMANIAN/ Acknowledged Examiner's Signature | <input type="checkbox"/> Met after Allowance Initials | STATE OR COUNTRY CA | SHEETS DRAWINGS 46 | TOTAL CLAIMS 45 54 |
| INDEPENDENT CLAIMS 17 5 | | | | |
| ADDRESS PILLSBURY WINTHROP SHAW PITTMAN, LLP P.O. BOX 10500 MCLEAN, VA 22102 UNITED STATES | | | | |
| TITLE Security analyst estimates performance viewing system and method | | | | |
| FILING FEE RECEIVED 2006 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit |